

06/09/00

1c829 U.S. PTO

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Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

First Inventor or Application Identifier C. TRUFANT

Title AN INTERGROUP WORKING MODEL FOR

Express Mail Label No. EE 672 257 550 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 6] 1?
(preferred arrangement set forth below)
 - Descriptive title of the Invention ✓
 - Cross References to Related Applications ○
 - Statement Regarding Fed sponsored R & D ○
 - Reference to Microfiche Appendix ○
 - Background of the Invention ✓
 - Brief Summary of the Invention ✓
 - Brief Description of the Drawings (if filed) ✓
 - Detailed Description ✓
 - Claim(s) ✓
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
4. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
 8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
 9. ☐ English Translation Document (if applicable)
 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
 11. ☐ Preliminary Amendment
 12. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 13. ☒ * Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
 14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed) COPY OF PS;
 15. ☒ Other: POSTER SESSION, 6/99
- PLAN OF RESEARCH 2000: MODEL AS
 DESIGN, 2/00: QUAL CIRCLE USE 3/00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	CAROL A. TRUFANT				
Address	P.O. BOX 14695				
City	BERKELEY	State	CA	Zip Code	94712-5695
Country	USA	Telephone	510.653-1294	Fax	-

Name (Print/Type)	CAROL A. TRUFANT, PH.D.	Registration No. (Attorney/Agent)	
Signature	Carol A. Trufant	Date	06-09-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

In the United States Patent and Trademark Office

Mailed 200

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JCS36 U.S. PTO
09/591147
06/09/00

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name:

CAROL A. TRUFANT Ph.D.

Applicant #2, Name:

Title:

An INTERGROUP WORKING Model for Social Conflict
on application Resolution

☒ Specification, Claims, and Abstract: Nr. of Sheets

6

☒ Declaration: Date Signed:

6-9-00

☒ Drawing(s): Nr. of Sheets Enc.: Formal:

2

Informal:

☒ Small Entity Declaration of Inventor(s)

☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment enclosed with cover sheet and recordal fee; please record and return

☒ Check for \$

345

for:

Utility & #185 for Design

☒ \$345 + 155

for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$

additional if Assignment is enclosed for recordal.

☒ Information Disclosure Statement, Form PTO-1449, and listed references.

abstract of ?

☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr.

filed

☒ Return Receipt Postcard Addressed to Applicant #1.

☒ Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Carol A. Trufant

Applicant #1 Signature

Applicant #2 Signature

Address (Send Correspondence Here)

Address

PO Box 14695
Berkeley CA 94712-5695

Express Mail Label #

EE672257550US

; Date of Deposit 200

6-9-00

LIST IN LIEU OF POSTCARD TO BE RETURNED IN STAMPED SELF-
ADDRESSED ENVELOPE

UTILITY PATENT APPLICATION

Jc836 U.S. PTO
09/591147
06/09/00

UTILITY PATENT APPLICATION TRANSMITTAL - 1

FEE TRANSMITTAL FOR FY 200 1

MONEY ORDER FOR \$345.-

STATEMENT CLAIMING SMALL ENTITY STATUS - 1

UTILITY PATENT APPLICATION - SPECIFICATION - 6 PAGES

DRAWINGS - FIG 1 & 3
FIG 3

DECLARATION - 2 PP

EXTRA MATERIAL

POSTER SESSION 5 PP

POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99

PLAN OF RESEARCH 5 PP + 2 FIGURES 1 & 3, & 1

DESIGN PROPOSAL - 2-14-00 - 2 PP + FIG 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

6 PP INCLUDING MODEL (FIG. 1 & 3

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: CAROL A. TRUFANT

Application or Patent No.: _____

Filed or Issued: JUNE 9, 2000

Title: AN INTERGROUP WORKING MODEL FOR SOCIAL CONFLICT
RESOLUTION

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

CAROL A. TRUFANT

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of inventor

Signature of inventor

Signature of inventor

JUNE 9, 2000

Date

Date

Date

UTILITY PATENT APPLICATION

SPECIFICATION

PER 37 CFR S 1.71(E):

"A PORTION OF THE DISCLOSURE OF THIS PATENT DOCUMENT CONTAINS MATERIAL WHICH IS SUBJECT TO (COPYRIGHT OR MASK WORK) PROTECTION. THE (COPYRIGHT OR MASK WORK OWNER HAS NO OBJECTION TO FACIMILE REPRODUCTION BY ANYONE OF THE PATENT DOCUMENT OR THE PATENT DISCLOSURE, AS IT APPEARS IN THE PATENT OR TRADEMARK OFFICE PATENT FILE OR RECORDS, BUT OTHERWISE RESERVES ALL (COPYRIGHT OR MASK WORK) RIGHTS WHATSOEVER."

TITLE OF INVENTION

AN INTERGROUP WORKING MODEL FOR SOCIAL CONFLICT
RESOLUTION

CROSS-REFERENCE TO RELATED APPLICATIONS - NOT APPLICABLE

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT-NOT
APPLICABLE

REFERENCE TO MICROFICHE APPENDIX: - NOT APPLICABLE

BACKGROUND OF THE INVENTION:

CLINICAL (CONSULTING) PSYCHOLOGY IS THE FIELD OF ENDEAVOR PERTAINING TO MY INVENTION. MORE THAN 13 YEARS AGO, I BECAME INTERESTED IN TAVISTOCK GROUP RELATIONS WHILE CO-LEADING A PSYCHOANALYTIC OBJECT RELATIONS (KLEINIAN) GROUP FOR 1 YEAR. DURING MY GENERAL INTERNSHIP AT NEUROPSYCHIATRIC INSTITUTE AT UCLA, 7-74 THROUGH 6/75.

GROUP RELATIONS WORK IS CONCERNED WITH STUDYING HOW GROUPS IN

ORGANIZATIONS ACT TOWARD ONE ANOTHER IN RE WORK COMPLETION, OR NOT;
AND HOW INDIVIDUAL DYNAMICS AFFECT GROUP DYNAMICS, AND THIS ENDPOINT.

THIS INTEREST COUPLED WITH MY PRIOR AND CONTINUING VARIOUS
SYSTEMS SUCH AS FAMILY COMMUNITY, ECOLOGICAL, STRUCTURAL, PARADOXICAL;
AND 'LIVING', THE LATTER MOST CLOSELY RELATED TO TAVISTOCK GROUP
RELATIONS

PARTICIPATION AND STAFF CONSULTATION IN SAID CONFERENCES AFFORDED
ME MORE EXPERIENCE AND APPRECIATION OF THE POSSIBILITY OF DIDDFERENT
WAY OF RELATING TO OTHERS AND RESOLVING CONFLICT.

IN 1989, I USED THIS MODEL TO APPLY FOR A NATO FELLOWSHIP; 10 YRS
LATTER, MY REVISED PROPOSAL WAS ACCEPTED AS A POSTER SESSION, AT YALE,
JUNE 10, 1999.

COPIES OF MY REVIEWS OF LITERATURE ARE ENCLOSED IN EXTRA MATERIAL SUCH
AS HISTORY, PROPOSAL, 11-28, 1989, AND THE POSTER SESSION POST PRINT

BRIEF SUMMARY OF THE INVENTION

SCRA 7TH BIENNIAL CONFERENCE POSTER SESSION

NEW HAVEN

JUNE 10, 1999

SOCIAL CONFLICT RESOLUTION: AN INTERGROUP WORKING MODEL

CAROL A. TRUFANT, PH. D.

BERKELEY, CA

PURPOSE: PRACTICE TAKING PERSONAL RESPONSIBILITY FOR BEHAVIOR AND
RESOLUTION OF DIFFERENCES, CONFLICT, AND PROBLEM SOLVING THROUGH IN-
TERACTIVE LEARNING. ADULTS HAVE DIFFICULTY AUTHORIZING THEMSELVES
AND OTHERS TO TAKE ON LEADERSHIP ROLES, OFTEN RESULTING IN A LACK OF
RESOLUTION OF GROUP ISSUES, I.E., RUDENESS, LOW WORK PRODUCTIVITY,
WORKPLACE VIOLENCE. TIME, ROLE, AND FORMAT AFFECTS OUR PROPENSITY TO
HOARD POWER, PROJECT ANXIETIES AND DILEMMAS ABOUT EXERCISING POWER,
AND RESPONSIBILITY FOR CHANGE, ACROSS GROUPS. MOVEMENT OUTSIDE OF

ONE'S GROUP, A REFRAMING, WILL ENHANCE RECOGNITION OF OTHERS' VIEW, BELIEFS, AND PROVIDE AN ENVIRONMENT MORE ACCESSIBLE TO DIALOGUES TOWARD COLLABORATIVE PROBLEM SOLVING.

METHOD:

SUBJECTS WILL BE PART OF A LARGE INTERDEPENDENT GROUP MADE UP OF 3 INTERGROUPS: THE COMMUNITY/THE WORKERS (C/W); THE POLITICIANS/TOP MANAGEMENT (P/TM); THE ACTIVISTS/MIDDLE MANAGEMENT (A/MM). PARTICIPANTS WILL BE RANDOMLY ASSIGNED TO GROUPS. THREE VOLUNTEERS WILL ACT AS 'CHORUS' (CONSULTANTS).

SETTING WILL CONSISTS OF MIDDLE GROUP SPACE, AND SURROUNDING AREAS-2 GRADUATED STAGES; SWINGING DOORS AS PERMEABLE BOUNDARIES, SEPARATING TWO DIFFERENT AREAS:

BOUNDARY SPACE (BOS) #1, THE ISLES WITHIN EACH GROUP, AND SURROUNDING THE MIDDLE GROUP, AND BOS #2, A SPACE, LIKE A STAGE WHERE ALL CAN OBSERVE. CONFLICTS NOT RESOLVED IN BOS #1 WILL MOVE INTO THIS SPACE.

PROCEDURALLY, DIFFERENCES OF OPINION WILL EMERGE AROUND ONE TOPIC INCLUDING THE MIDDLE EAST SITUATION, A WORK SITUATION, A SCHOOL/ADOLESCENT/PARENT CONFLICT, ETC.

THREE VOLUNTEERS PER TOPIC WILL ACT AS THE 'CHORUS' RESPONSIBLE FOR GIVING CONTINUAL RUNNING COMMENTARY. THEY ARE TO HELP ME REFRAME DISAGREEMENTS TO BE RESOLVED IN BOS 1 OR 2, AS NEEDED.

TIME NEEDED: 3½ HOURS: 30 MINUTES TO EXPLAIN AND GET EVERYONE ASSIGNED TO A GROUP AND SEATED; 30 MINUTES FOR THE EVENT TO OCCUR; ANOTHER 45 MINUTES FOR ALL GROUP MEMBERS TO CHANGE GROUPS, AND CONTINUE, E.G., THE A/MM BECOME C/TW, AND THE LATTER, THE P/TM, AND THIS LAST GROUP, THE A/MM. THE EXERCISE WILL CONTINUE WITH PARTICIPANTS IN DIFFERENT ROLES; NEW VOLUNTEERS WILL REPLACE THE CHORUS, AND MAYBE ME. AT THE END, 60 MINUTES WILL BE RESERVED FOR

DISCUSSION OF THIS EVENT BY MEMBERS ABOUT VARIOUS ROLES THEY ASSUMED DURING THE TRAINING (REVIEW), AND HOW THESE ROLES MIGHT AFFECT THEIR BEHAVIOR TOWARDS AUTHORITY AND LEADERSHIP OUTSIDE OF THIS TRAINING (APPLICATION). AN EXTRA 20 MINUTES WILL BE AVAILABLE FOR DEBRIEFING AS NECESSARY. TIME WILL BE ALLOTTED FOR FOLLOW-UP ISSUES AS INDICATED.

EVALUATION: A 1 PAGE LIKERT-TYPE QUESTIONNAIRE WILL BE DISTRIBUTED; COMPLETION TIME: @ 15 MINUTES.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

SPECIFICATION -

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

"A PORTION OF THE DISCLOSURE OF THIS PATENT DOCUMENT CONTAINS MATERIAL WHICH IS SUBJECT TO (COPYRIGHT OR MASK WORK) PROTECTION. THE (COPYRIGHT OR MASK WORK) OWNER HAS NO OBJECTION TO FACIMILE REPRODUCTION BY ANYONE OF THE PATENT DOCUMENT OR THE PATENT DISCLOSURE, AS IT APPEARS IN THE PATENT OR TRADEMARK OFFICE PATENT FILE OR RECORDS, BUT OTHERWISE RESERVES ALL (COPYRIGHT OR MASK WORK) RIGHTS WHATSOEVER." PER 37 CFR S 1.71(E)

YOUR PETITIONER, CAROL A. TRUFANT, PH.D., A CITIZEN OF THE UNITED STATES AND A RESIDENT OF OAKLAND, CALIFORNIA, PRAYS THAT LETTERS PATENT MAY BE GRANTED FOR THE DESIGN FOR A SURFACE PATTERN (MODEL) APPLIED TO ROOM, OR BUILDING, AS SET FORTH IN THE FOLLOWING SPECIFICATION:

FIG. 1 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED TO A SETTING, I.E, ROOM IN A BUILDING (WORKPLACE) FOR USE WITH ADULTS; CAN USE AS MODEL FOR QUALITY CIRCLE, ALSO.

FIG. 2 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED TO A SETTING, I.E., ROOM IN A BUILDING (SCHOOL OR CHURCH) FOR USE WITH ADOLESCENTS, PARENTS, TEACHERS, ADMINISTRATORS.

FIG. 3 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED AS THE DESIGN FOR A BUILDING OR PART THEREOF.

DETAILED DESCRIPTION OF THE INVENTION

PURPOSE: PRACTICE TAKING PERSONAL RESPONSIBILITY FOR BEHAVIOR AND RESOLUTIONS OF DIFFERENCES, CONFLICT AND PROBLEM SOLVING THROUGH INTERACTIVE LEARNING. ADULTS (HUMAN BEINGS) HAVE DIFFICULTY AUTHORIZING THEMSELVES AND OTHERS TO TAKE ON LEADERSHIP ROLES, OFTEN RESULTING IN LACK OF RESOLUTION OF INTRA AND INTER-GROUP ISSUES, I.E., INTERPERSONAL DIFFICULTIES, AND VIOLENCE AT WORK, HOME, SCHOOL AND THE COMMUNITY. TIME, ROLE AND FORMAT WILL AFFECT OUR PROPENSITY TO HOARD POWER, AS WELL AS THE 'PROMISE' OF ACQUIRING IT WHILE PROJECTING ONTO OTHERS OUR ANXIETIES AND DILEMMAS ABOUT EXERCISING AUTHORITY, LEADERSHIP, AND TAKING RESPONSIBILITY FOR CHANGE. MOVEMENT OUTSIDE OF ONE'S GROUP, INHERENTLY A REFRAMING MOVE, WILL ENHANCE RECOGNITION OF SPLITTING-OFF OF (IGNORING) OTHERS' VIEWS/BELIEFS, AND BRING ABOUT COLLABORATIVE PROBLEM SOLVING.

THE SETTING WILL CONSIST OF 2 RAISED STAGES AND SWINGING DOORS TO REPRESENT PERMEABLE BOUNDARIES. THESE DOORS WILL BIND TWO DIFFERENT AREAS:

1) BOUNDARY SPACE (BOS) #1. THE ISLES IN BETWEEN EACH GROUP, I.E., THE BOUNDARY EACH AROUND THE MIDDLE GROUP SHARED ON EACH SIDE BY GROUP #1 & #3; TWO PEOPLE FROM 2 TO 3 DIFFERENT GROUPS CAN MEET IN A COMMON PLACE IN THIS SPACE. AROUND THE MIDDLE GROUP.

2) BOS #2. A SPACE DOWN BY AND A DISTANCE FROM THE MIDDLE GROUP, AWAY FROM ALL GROUPS, AS IF ON A STAGE, FOR ALL TO OBSERVE. CONFLICTS THAT CAN NOT BE RESOLVED IN BOS #1 WILL MOVE INTO THIS SPACE..

CLAIM:

I CLAIM: THE DESIGN FOR A SURFACE PATTERN APPLIED AS SHOWN AND DESCRIBED.

ABSTRACT OF THE DISCLOSURE

SOCIAL CONFLICT RESOLUTION: AN INTERGROUP WORKING MODEL

CAROL A. TRUFANT, PH. D.

BERKELEY, CA

PURPOSE: PRACTICE TAKING PERSONAL RESPONSIBILITY FOR BEHAVIOR AND RESOLUTION OF DIFFERENCES, CONFLICT, AND PROBLEM SOLVING THROUGH INTERACTIVE LEARNING. ADULTS HAVE DIFFICULTY AUTHORIZING THEMSELVES AND OTHERS TO TAKE ON LEADERSHIP ROLES, OFTEN RESULTING IN A LACK OF RESOLUTION OF GROUP ISSUES, I.E., RUDENESS, LOW WORK PRODUCTIVITY, WORKPLACE VIOLENCE. TIME, ROLE, AND FORMAT AFFECTS OUR PROPENSITY TO HOARD POWER, PROJECT ANXIETIES AND DILEMMAS ABOUT EXERCISING POWER, AND RESPONSIBILITY FOR CHANGE, ACROSS GROUPS. MOVEMENT OUTSIDE OF ONE'S GROUP, A REFRAMING, WILL ENHANCE RECOGNITION OF OTHERS' VIEW, BELIEFS, AND PROVIDE AN ENVIRONMENT MORE ACCESSIBLE TO DIALOGUES TOWARD COLLABORATIVE PROBLEM SOLVING.

BOS = Boundary Space
 P/TM = Politicians/Top Management
 C/TW = Community/The Workers
 A/MM = Activists/Middle Management

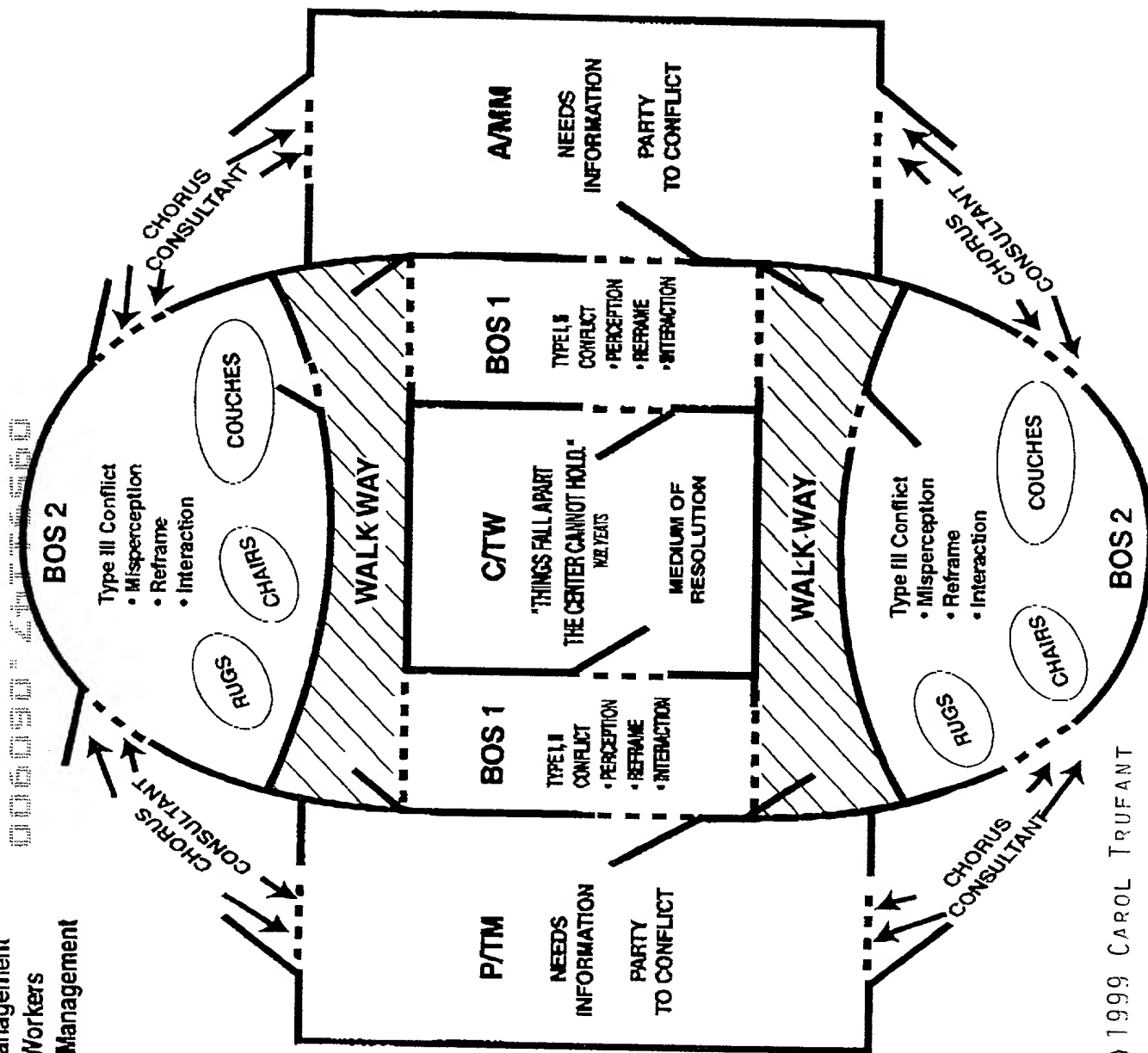


FIG. 1

&
FIG. 3

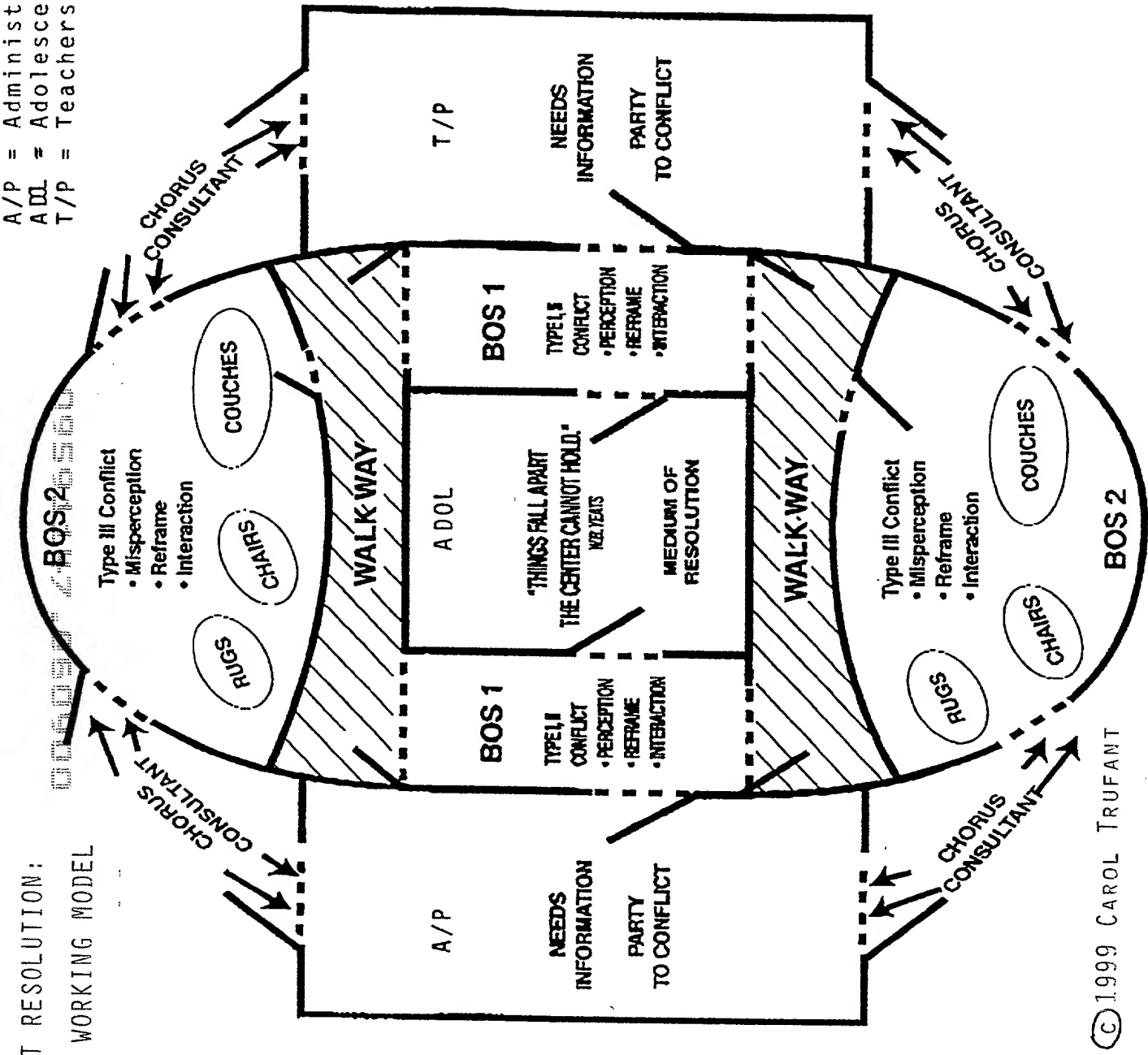
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AN INTERGROUP

TRUFANT, C. 1999. SOCIAL CONFLICT RESOLUTION: A WORKING MODEL. 7TH BIENNIAL SCRA CONFERENCE, NEW HAVEN
 POSTER SESSION

A/P = Administrators/Principals
 ADL = Adolescents
 T/P = Teachers/Parents

SOCIAL CONFLICT RESOLUTION:
 AN INTERGROUP WORKING MODEL



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FIG. 2

Please type a plus sign (+) inside this box → ☒

PTO/SB/01 (12-97)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	
	First Named Inventor	CAROL A. TRUFANT
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	JUNE 9, 2000
	Group Art Unit	
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN INTERGROUP WORKING MODEL FOR SOCIAL CONFLICT RESOLUTION

the specification of which (Title of the Invention)

☒ is attached hereto
OR
☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number OR
☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number OR ☒ Correspondence address below

Name	CAROL A. TRUFANT, PH.D.				
Address	P.O. Box 14695				
City	BERKELEY	State	CA	ZIP	94712-5695
Country	USA	Telephone	510. 653-1294	Fax	---

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
CAROL ANN		TRUFANT					
Inventor's Signature	Carol Ann Trufant		Date	6-9-00			
Residence: City	OAKLAND	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address	P.O. Box 14695						
City	BERKELEY	State	CA	ZIP	94712-5695	Country	USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto